

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

2

B-5

CONSTRUCTION ON STATE HIGHWAY IN  
TEHAMA COUNTY NEAR MINERAL FROM LITTLE GIANT MILL ROAD TO 0.7 MILE WEST OF  
DIAMOND ROAD  
In District 02 on Route 36

UNDER

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Notice to Bidders and Special Provisions dated September 19, 2016  
Project plans approved June 15, 2016  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 02-4G03U4

02-Teh-36-R75.1/78.4

Project ID 0215000056

FEDERAL-AID PROJECT  
ACHSST-P036(102)E

Bids open Thursday, October 27, 2016

Dated September 19, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
Contract No.: 02-4G03U4 Project ID: 0215000056  
Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972  
BID TO THE DEPARTMENT OF TRANSPORTATION  
DES-OE-0102.1

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Name of Bidder J.F. Shea Construction, Inc.  
Business Street Address P.O. Box 494519  
(Include even if P.O. Box is used)  
Business P.O. Box  
City, State, Zip Redding, CA, 96049  
Telephone No: AREA CODE 530-246-4292  
Fax No: AREA CODE 530-246-9940  
Contractor License No. 769989  
Public Works Contractor Registration No. 1000001536  
Checksum DEC23EC2

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
10-19-16 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Ed Kernaghan AND  
TITLE OF PERSON SIGNING: Vice President

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BY (Authorized signature)

DATE SIGNED( Do not type)

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	2,800.00
2	080050 SCHEDULE (CRITICAL PATH METHOD)	PROGRESS	LUMP SUM LS	LUMP SUM	6,400.00
3	090100 OVERHEAD (WDAY)	TIME-RELATED	150.0 WDAY	530.00	79,500.00
4	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	3,500.00
5	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	570,000.00
6	128652 (LS)	PORTABLE CHANGEABLE MESSAGE SIGN	LUMP SUM LS	LUMP SUM	35,600.00
7	130100 MANAGEMENT	JOB SITE	LUMP SUM LS	LUMP SUM	28,775.00
8	130300 WATER POLLUTION PREVENTION PLAN	PREPARE STORM	LUMP SUM LS	LUMP SUM	6,900.00
9	130310 ACTION PLAN	RAIN EVENT	11.0 EA	500.00	5,500.00
10	130320 DAY	STORM WATER SAMPLING AND ANALYSIS	17.0 EA	600.00	10,200.00
11	130330 ANNUAL REPORT	STORM WATER	2.0 EA	2,000.00	4,000.00
12	130530 FIBER MATRIX)	TEMPORARY HYDRAULIC MULCH (BONDED	27,000.0 SQYD	0.88	23,760.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130610 DAM	TEMPORARY CHECK	1,000.0 LF	6.24	6,240.00
14	130620 DRAINAGE INLET PROTECTION	TEMPORARY	30.0 EA	121.00	3,630.00
15	130640 ROLL	TEMPORARY FIBER	12,600.0 LF	4.57	57,582.00
16	130650 BAG BERM	TEMPORARY GRAVEL	250.0 LF	9.50	2,375.00
17	130680 FENCE	TEMPORARY SILT	1,000.0 LF	2.76	2,760.00
18	130710 CONSTRUCTION ENTRANCE	TEMPORARY	1.0 EA	5,630.00	5,630.00
19	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	3,604.00
20	130900 CONCRETE WASHOUT	TEMPORARY	LUMP SUM LS	LUMP SUM	1,200.00
21	141000 (TYPE ESA)	TEMPORARY FENCE	410.0 LF	4.30	1,763.00
22	141120 WASTE	TREATED WOOD	9,200.0 LB	0.26	2,392.00
23	150204 (LF)	ABANDON CULVERT	590.0 LF	17.40	10,266.00
24	032094 WALL (CAN WALL)	REMOVE RETAINING	220.0 LF	13.00	2,860.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G03U4 Project ID: 0215000056

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	150630	REMOVE MARKER	67.0 EA	17.00	1,139.00
26	150661	REMOVE GUARDRAIL	870.0 LF	5.50	4,785.00
27	150742 SIGN	REMOVE ROADSIDE	47.0 EA	47.00	2,209.00
28	150809 (LF)	REMOVE CULVERT	620.0 LF	22.75	14,105.00
29	150820	REMOVE INLET	11.0 EA	642.00	7,062.00
30	150821	REMOVE HEADWALL	6.0 EA	621.00	3,726.00
31	151224	REMOVE DELINEATOR	55.0 EA	40.00	2,200.00
32	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	410.0 SQYD	15.00	6,150.00
33	155232	SAND BACKFILL	43.0 CY	44.00	1,892.00
34	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	402,000.00
35	170101	DEVELOP WATER SUPPLY	LUMP SUM LS	LUMP SUM	122,500.00
36	180105	DUST SUPPRESSANT	42,500.0 SQYD	1.53	65,025.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	190101	ROADWAY EXCAVATION	162,000.0 CY	17.50	2,835,000.00
38	190185	SHOULDER BACKING	1,340.0 TON	35.00	46,900.00
39	194001	DITCH EXCAVATION	47.0 CY	49.00	2,303.00
40	198208	SUBGRADE ENHANCEMENT GEOTEXTILE, CLASS B1	1,190.0 SQYD	5.00	5,950.00
41	205035	WOOD MULCH	2,520.0 CY	22.00	55,440.00
42	208575	2" GATE VALVE	2.0 EA	30.00	60.00
43(F)	208605	2" PLASTIC PIPE (CLASS 315) (SUPPLY LINE)	3,930.0 LF	7.50	29,475.00
44	032095	2" DUCTILE IRON PIPE	6.0 LF	11.00	66.00
45	032096	AIR RELEASE VALVE	2.0 EA	1,060.00	2,120.00
46	210250	EROSION CONTROL (BONDED FIBER MATRIX) (SQFT)	1,080,000.0 SQFT	0.19	205,200.00
47	032097	PERMANENT EROSION CONTROL ESTABLISHMENT WORK	LUMP SUM LS	LUMP SUM	9,010.00
48	220101	FINISHING ROADWAY	LUMP SUM LS	LUMP SUM	16,000.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	260203	CLASS 2 AGGREGATE BASE (CY)	12,300.0 CY	32.10	394,830.00
50	390132	HOT MIX ASPHALT (TYPE A)	18,500.0 TON	86.00	1,591,000.00
51	394060	DATA CORE	LUMP SUM LS	LUMP SUM	1,600.00
52	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	65.0 LF	1.20	78.00
53	394075	PLACE HOT MIX ASPHALT DIKE (TYPE D)	2,090.0 LF	1.10	2,299.00
54	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	4,890.0 LF	1.10	5,379.00
55	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	7,110.0 LF	1.10	7,821.00
56	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	300.0 SQYD	25.00	7,500.00
57	397005	TACK COAT	9.0 TON	689.00	6,201.00
58 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	211.3 CY	1,760.00	371,888.00
59	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	390.0 SQFT	15.00	5,850.00
60	566011	ROADSIDE SIGN - ONE POST	30.0 EA	318.00	9,540.00



## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
61	032098	ROADSIDE SIGN - TURNABLE	4.0 EA	4,770.00	19,080.00
62	032099	FURNISH SINGLE SHEET ALUMINUM SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (0.063"-UNFRAMED)	250.0 SQFT	10.00	2,500.00
63	032100	RETROREFLECTIVE SHEETING (TYPE XI)	250.0 SQFT	5.30	1,325.00
64	620800	CONCRETE BACKFILL (PIPE TRENCH)	28.0 CY	149.00	4,172.00
65	641107	18" PLASTIC PIPE	670.0 LF	131.50	88,105.00
66	641113	24" PLASTIC PIPE	2,370.0 LF	142.00	336,540.00
67	665018	18" CORRUGATED STEEL PIPE (.109" THICK)	1,080.0 LF	120.00	129,600.00
68	665024	24" CORRUGATED STEEL PIPE (.109" THICK)	590.0 LF	104.50	61,655.00
69	680905	8" PERFORATED PLASTIC PIPE UNDERDRAIN	1,150.0 LF	55.50	63,825.00
70	690118	18" CORRUGATED STEEL PIPE DOWNDRAIN (.109" THICK)	27.0 LF	111.00	2,997.00
71	690124	24" CORRUGATED STEEL PIPE DOWNDRAIN (.109" THICK)	180.0 LF	104.00	18,720.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72	692309 ASSEMBLY	24" ANCHOR	10.0 EA	244.00	2,440.00
73	692381 AND CABLE ASSEMBLY	CONCRETE ANCHOR	3.0 EA	3,180.00	9,540.00
74	702614 STEEL PIPE ENERGY DISSIPATOR (.064" THICK)	18" CORRUGATED	8.0 EA	927.50	7,420.00
75	707117 CONCRETE PIPE INLET	36" PRECAST	10.0 LF	106.00	1,060.00
76	720118 PROTECTION (2T, METHOD A)	ROCK SLOPE	1,170.0 CY	73.00	85,410.00
77	721017 PROTECTION (FACING, METHOD B) (CY)	ROCK SLOPE	18.0 CY	671.50	12,087.00
78	721028 PROTECTION (NO. 2, METHOD B) (CY)	ROCK SLOPE	350.0 CY	135.50	47,425.00
79	722020 GABION		880.0 CY	175.20	154,176.00
80	032101 GEOMEMBRANE		2,660.0 SQYD	10.20	27,132.00
81	729011 PROTECTION FABRIC (CLASS 8)	ROCK SLOPE	6,730.0 SQYD	1.50	10,095.00
82	729012 PROTECTION FABRIC (CLASS 10)	ROCK SLOPE	460.0 SQYD	2.00	920.00
83(F)	750001 IRON AND STEEL	MISCELLANEOUS	33,172.0 LB	2.00	66,344.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
84	820108 (CLASS 2)	DELINEATOR	390.0 EA	49.00	19,110.00
85	820110	MILEPOST MARKER	14.0 EA	49.00	686.00
86	820112	MARKER (CULVERT)	95.0 EA	49.00	4,655.00
87	820151 (TYPE L-1)	OBJECT MARKER	20.0 EA	49.00	980.00
88	832006	MIDWEST GUARDRAIL SYSTEM (STEEL POST)	11,000.0 LF	25.30	278,300.00
89	032102	MIDWEST GUARDRAIL SYSTEM (9' STEEL POST)	1,420.0 LF	39.00	55,380.00
90	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	6.0 EA	3,050.00	18,300.00
91	840515	THERMOPLASTIC PAVEMENT MARKING	270.0 SQFT	10.60	2,862.00
92	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	49,200.0 LF	0.43	21,156.00
93	850122 (RETROREFLECTIVE-RECESSE D)	PAVEMENT MARKER	1,370.0 EA	8.00	10,960.00
94	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	512,000.00
Total Bid					\$9,198,497.00

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 1) Business Name APCO  
Location City Goshen State CA  
California Contractor License Number 283095  
Public Works Contractor Registration Number 1000004858  
Portion of Work Subcontracted:

Item	%	Description
52	100	PLACE HOT MIX ASPHALT DIKE (TYPE C)
53	100	PLACE HOT MIX ASPHALT DIKE (TYPE D)
54	100	PLACE HOT MIX ASPHALT DIKE (TYPE E)
55	100	PLACE HOT MIX ASPHALT DIKE (TYPE F)

List this sub? Yes

- 2) Business Name Apply-A-Line, Inc.  
Location City Anderson State CA  
California Contractor License Number 562762  
Public Works Contractor Registration Number 1000003300  
Portion of Work Subcontracted:

Item	%	Description
91	100	THERMOPLASTIC PAVEMENT MARKING
92	100	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)
93	100	PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)

List this sub? Yes

- 3) Business Name M & S Environmental Landscapes, Inc.  
Location City Redding State CA  
California Contractor License Number 751931  
Public Works Contractor Registration Number 1000010084  
Portion of Work Subcontracted:

Item	%	Description
12	100	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)
46	100	EROSION CONTROL (BONDED FIBER MATRIX)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
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Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972  
DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 4) Business Name Dirt & Aggregate Interchange, Inc.  
Location City Fairview State OR  
California Contractor License Number 507828  
Public Works Contractor Registration Number 1000010905  
Portion of Work Subcontracted:

Item	%	Description
88	100	MIDWEST GUARDRAIL SYSTEM (STEEL POST)
89	100	MIDWEST GUARDRAIL SYSTEM (9' STEEL POST)
90	100	ALTERNATIVE FLARED TERMINAL SYSTEM
94	.01	MOBILIZATION - Partial

List this sub? Yes

- 5) Business Name Highway Specialty Co.  
Location City Palo Cedro State CA  
California Contractor License Number 1003147  
Public Works Contractor Registration Number 1000022123  
Portion of Work Subcontracted:

Item	%	Description
5	100	TRAFFIC CONTROL SYSTEM
6	100	PORTABLE CHANGEABLE MESSAGE SIGN



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G03U4 Project ID: 0215000056

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G03U4 Project ID: 0215000056

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name: Ed Kernaghan \* J.F. Shea Construction, Inc.

Title: Vice President

Telephone No.: 530-246-4292

DATE: 10/27/2016

Federal Use Only:

Authorized for Local Reproduction  
Standard Form - LLL (Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder J.F. Shea Construction, Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

## NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Vice President of

J.F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.



BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for  
CONSTRUCTION ON STATE HIGHWAY IN  
TEHAMA COUNTY NEAR MINERAL FROM LITTLE GIANT MILL ROAD TO 0.7 MILE WEST OF  
DIAMOND ROAD  
In District 02 on Route 36  
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on  
10-27-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

Address of Surety: \_\_\_\_\_

(for correspondence or claims)

By \_\_\_\_\_

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)